

# Sub-Optimal Non-Linear Optimization of Trajectory Planning for the DLR Next Generation Train (NGT)

Athanasios Iraklis, Holger Dittus, Toni Schirmer\*, Ivan Windemut, Joachim Winter  
German Aerospace Center (DLR), Stuttgart & Berlin, Germany  
Institute of Vehicle Concepts | Vehicle Energy Concepts  
Contact: [toni.schirmer@dlr.de](mailto:toni.schirmer@dlr.de)

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**Toni Schirmer**  
**DLR**

A large, curved image of the Earth from space, showing the blue oceans, white clouds, and green landmasses of Europe and Africa.

Knowledge for Tomorrow

**I am happy for you to photograph or  
tweet the slides from my talk**



# Agenda

1. Motivation
2. Status quo
3. Methodology
4. Use case
  - NGT LINK
  - Reference Scenario
  - Simulation Results
5. Conclusion & Outlook





# Motivation

## Driving optimization for DLR Next Generation Train (NGT)

### Status quo: Trajectory Planning Tool (TPT)

Conventional time optimized trajectory planning method for developing speed profiles

### Future

Need for time optimized speed profiles with minimized energy usage and LCC

- Computer-aided calculation of optimal driving style
- Increasing share of electro dynamic braking
- Reduction of wear and lifecycle costs
  - Less usage of friction brakes
- Improving computing time

### NGT HST



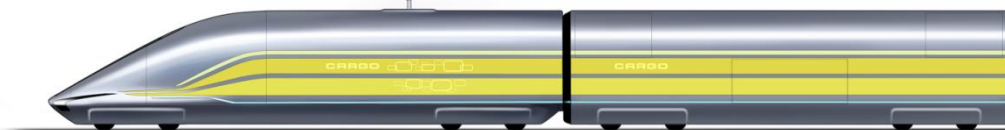
→ Ultra-high-speed train, traction power 16 MW, operational speed 400 km/h

### NGT LINK



→ feeder train set, traction power 2.5 MW, operational speed 230 km/h

### NGT CARGO

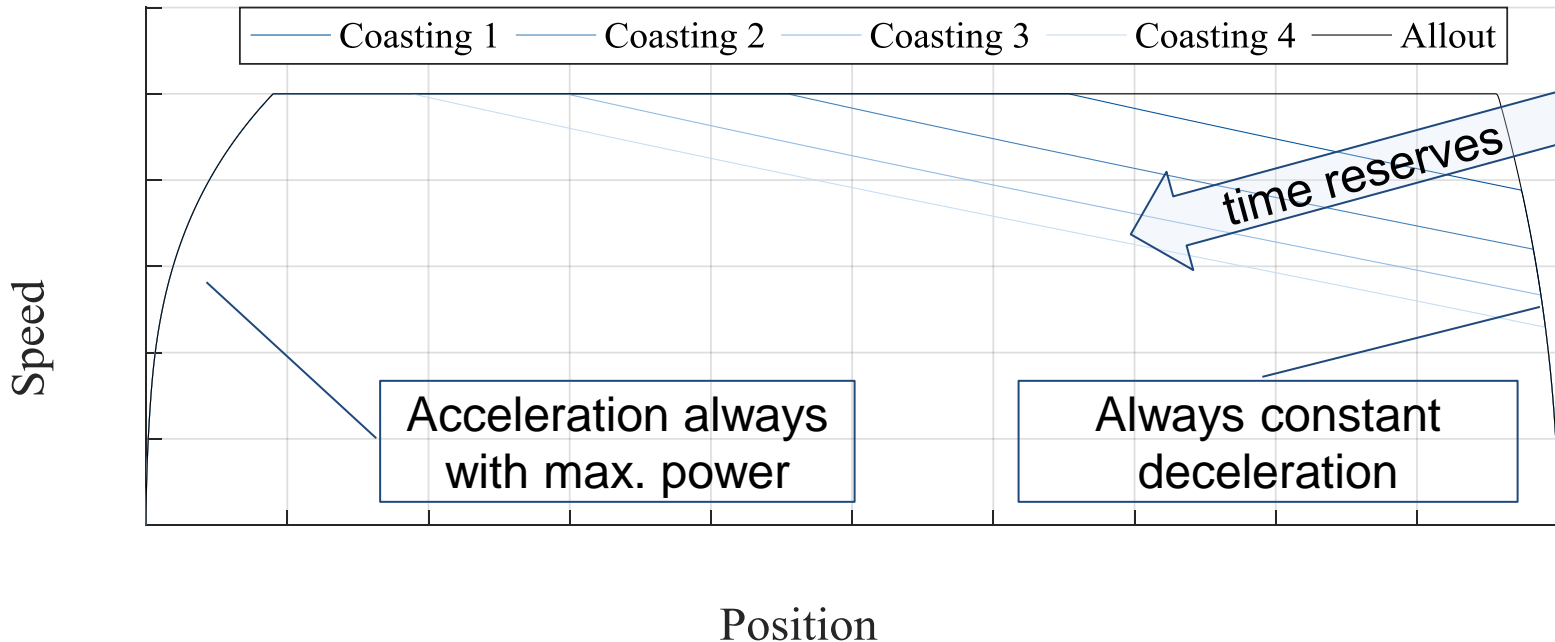


→ Autonomous ultra-high-speed railcar freight train, operational speed 400 km/h

# Status Quo

## Trajectory Planning Tool (TPT)

### TPT - working principle



### Methodology:

1. Calculate fastest trajectory (AllOut) as basis
2. Add coasting to fulfil timetable:

- a) Try to cut AllOut trajectory beginning in the right corner
- b) If no solution is found, max. speed will be reduced

- Results are only time optimized
- Trajectory is composed appropriately “per try and error”
- Computing for Coasting is time consuming



# Methodology

## Sub-Optimal Non-Linear Optimization of Trajectory Planning (OPT)

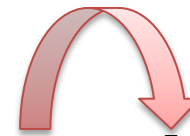
- Direct Method (DM) for train trajectory generation
  - Solution vector:  $x(u, v) = [u(d), v(d)]$
  - Problem domain:  $d \rightarrow$  distance
  - Control vector:  $u(d) \rightarrow$  notch setting  $u(d_i) \in [-11 \dots +8] \rightarrow P_{wheel(u(d))}$
  - State vector:  $v(d) \rightarrow$  vehicle speed constrained within the range  $[0 \dots max\_line\_speed(d)]$

### Constraints

Total journey time	$T_{TOTAL} \leq T_{TIMETABLE}$
Initial speed	$v(d_0) = 0 \text{ km/h}$
Stops	$v(d_{stop}) = 0 \text{ km/h}$
Final speed	$v(d_n) = 0 \text{ km/h}$
Equation of motion	$\dot{v}(d_i) = (T_{trac brk} - T_{res}) / (m + m_{rot})$
Maximum motor torque	$ T(u(d_i))  \leq T_{MAX}$
Ac-/Deceleration rate	$a(d_i) \leq / \geq a_{MAX}$

### Specification

Target function	$L_s = \min(\sum(C(u(d_i))))$
Gradient of target function	$g_i = \frac{\partial L_s(x(u, v))}{\partial x_i}$
Jacobian of constraints	$J_{i,j} = \frac{\partial c_i(x(u, v))}{\partial x_j}$



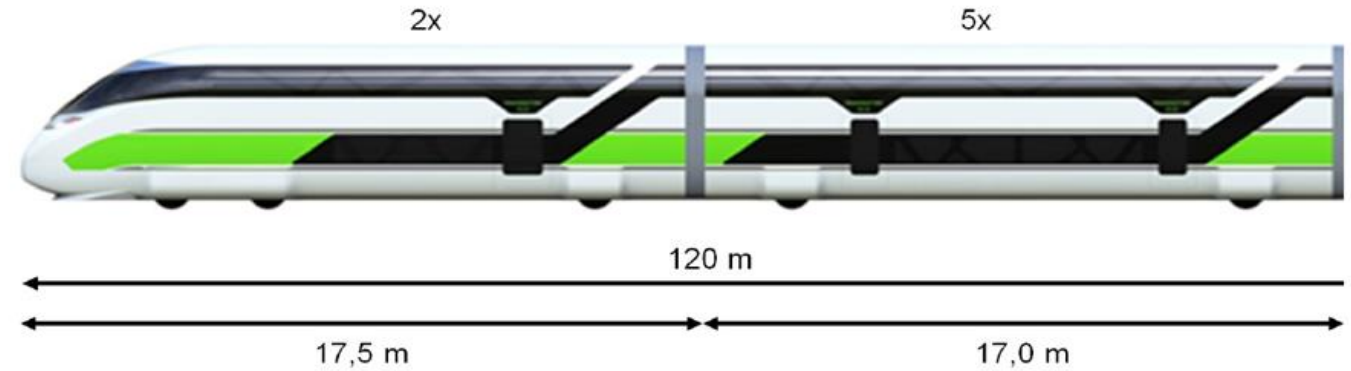
▪ **OPTI IPOPT NL solver [1,2]**

[1] OPTI Toolbox: <https://www.inverseproblem.co.nz/OPTI/index.php>

[2] Wächter, A. and Biegler, L.T., 2006. On the implementation of an interior-point filter line-search algorithm for large-scale nonlinear programming. *Mathematical programming*, 106(1), pp.25-57.



## Use case: NGT LINK



- Innovative 16-axle train concept with all-wheel drive as EMU
- Double-decker regional and intercity train
- Serves as basis for comparing TPT and OPT

### Relevant specifications

Maximum tractive power at wheel	2500 kW
Maximum tractive and electro dynamic brake force at wheel	412 kN
Maximum Ac-/Deceleration rate	$\pm 1 \text{ m/s}^2$
Design mass (fully loaded)	272 t
Rotational allowance	8 %
Auxiliary power $P_{\text{Aux}}$	0 kW
Davis coefficient A	3.9 kN
Davis coefficient B	0.8 kN/m/s
Davis coefficient C	4.6 kN/m/s <sup>2</sup>

### Assumed efficiencies

$\eta_{\text{TractionMOT}}$	90%
$\eta_{\text{TractionINV}}$	98%
$\eta_{\text{Rectifier}}$	98%
$\eta_{\text{Transformer}}$	95%





## Use case: Reference Scenario

- Round-trip Ulm - Oberstdorf – Ulm (Germany) → overall distance 254 km [3]
- Assumption for use case: line is fully electrified
- Three journey times are considered: (1) 150 min, **(2) 166,7 min**, (3) 183,4 min

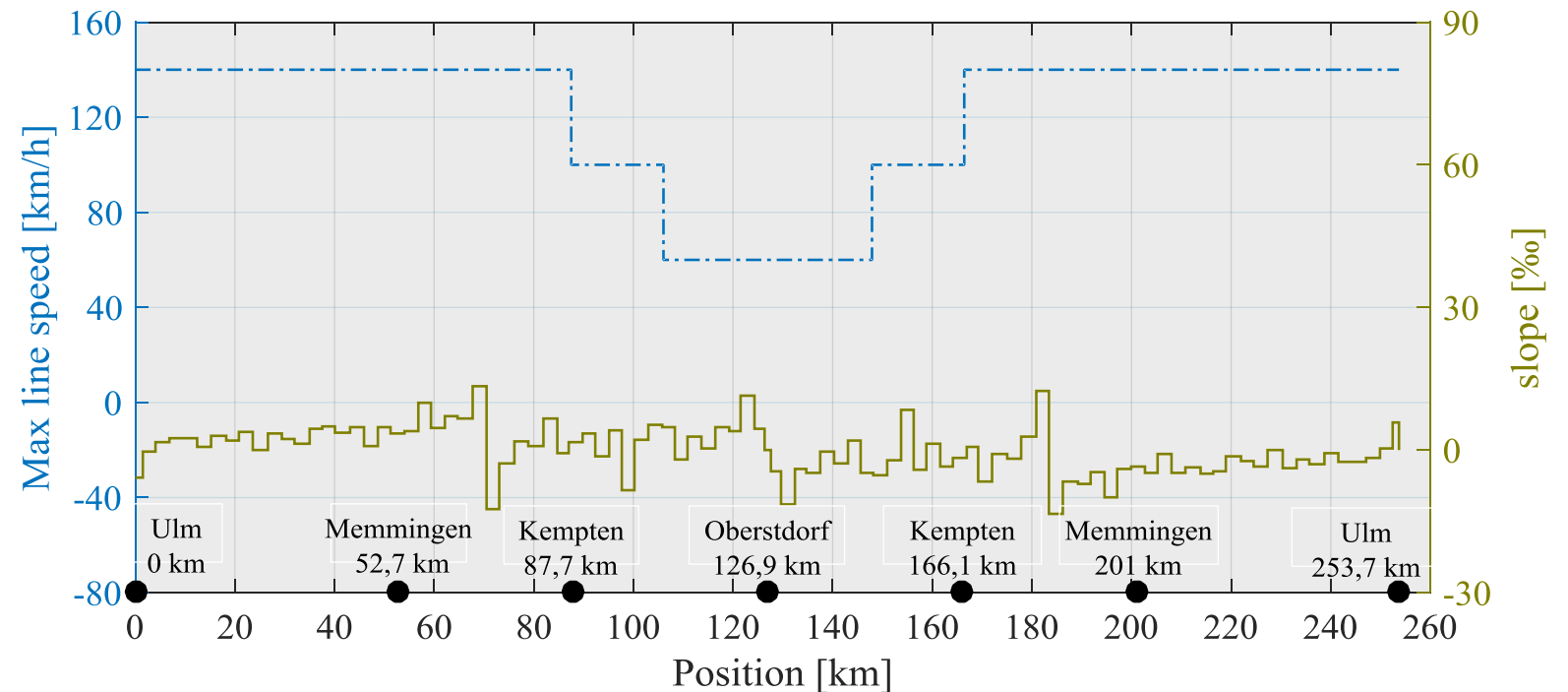
### Energy prices at catenary [4]

Price for traction 6.94 ct/kWh

Price for recuperation 3.34 ct/kWh

[3] Dittus, H., Hoffmann, M., Streit, S. and Kaimer, S., 2016. Design, Dimensioning and Analysis of a novel, locally emission-free Propulsion Concept for Regional Trains on non-electrified Railway Lines.

[4] DB Energie. 2017. Preisblatt für die Nutzung des 16,7-Hz-Bahnstromnetzes (Bahnstromnetz). Gültig ab 01.01.2017. [\[Online\]](#).

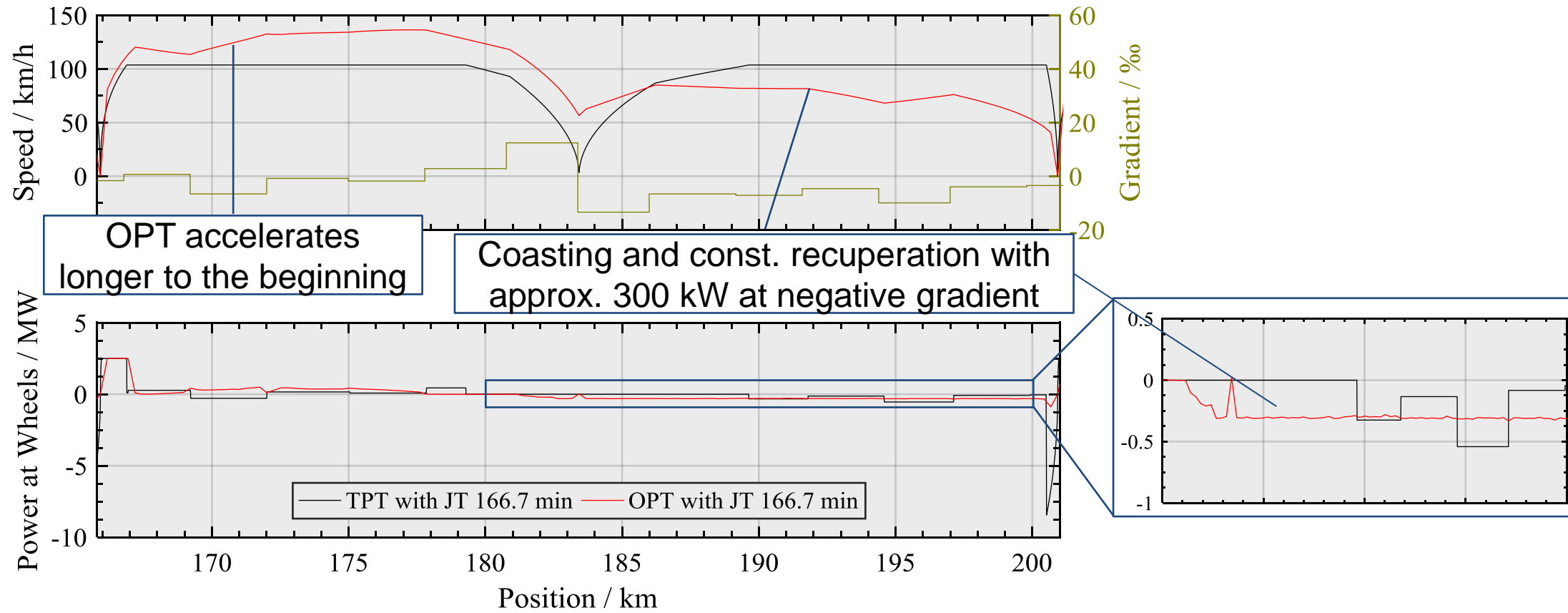






## Use case: Results

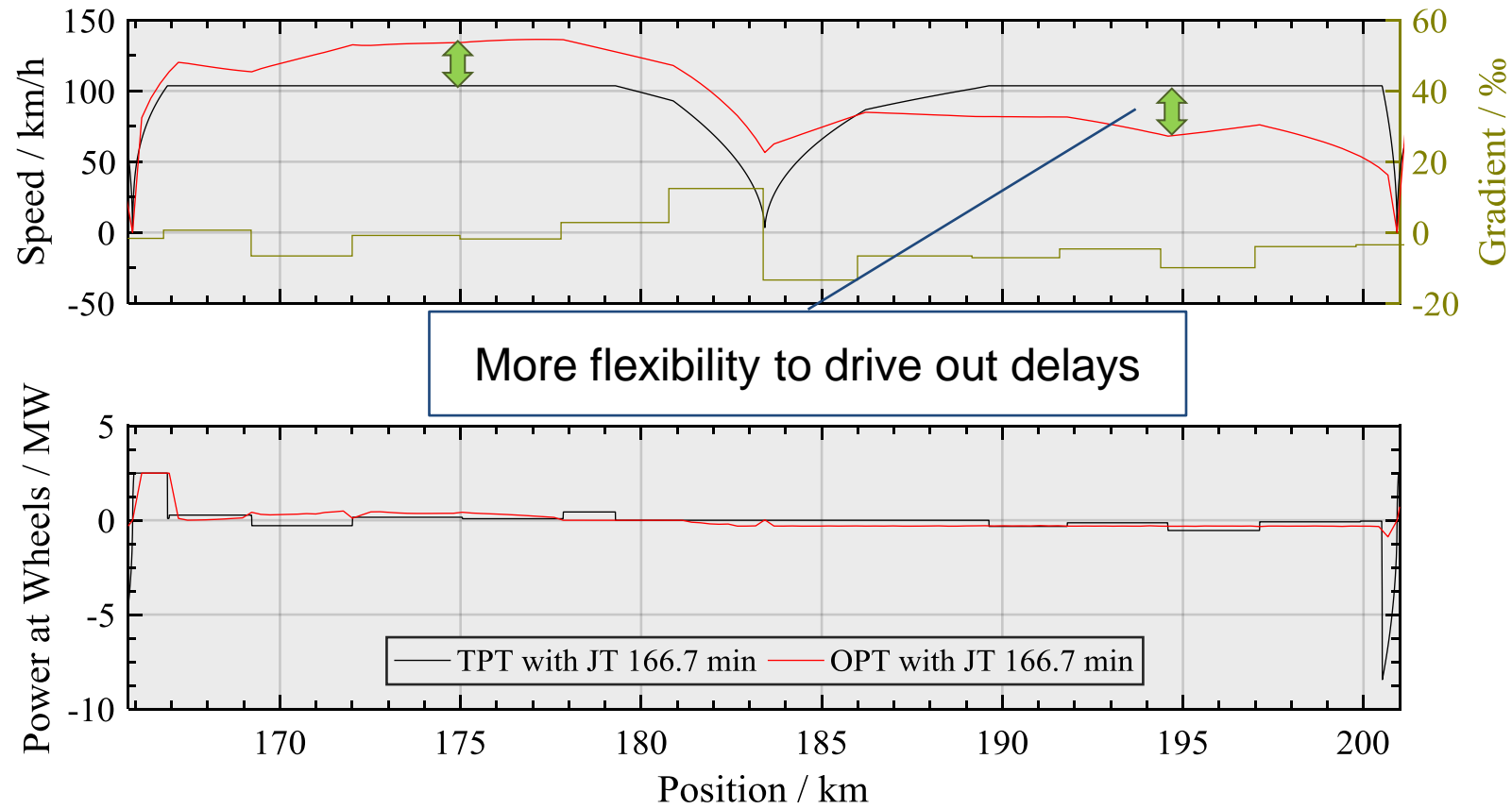
OPT with better adaption to track boundary conditions than TPT





## Use case: Results

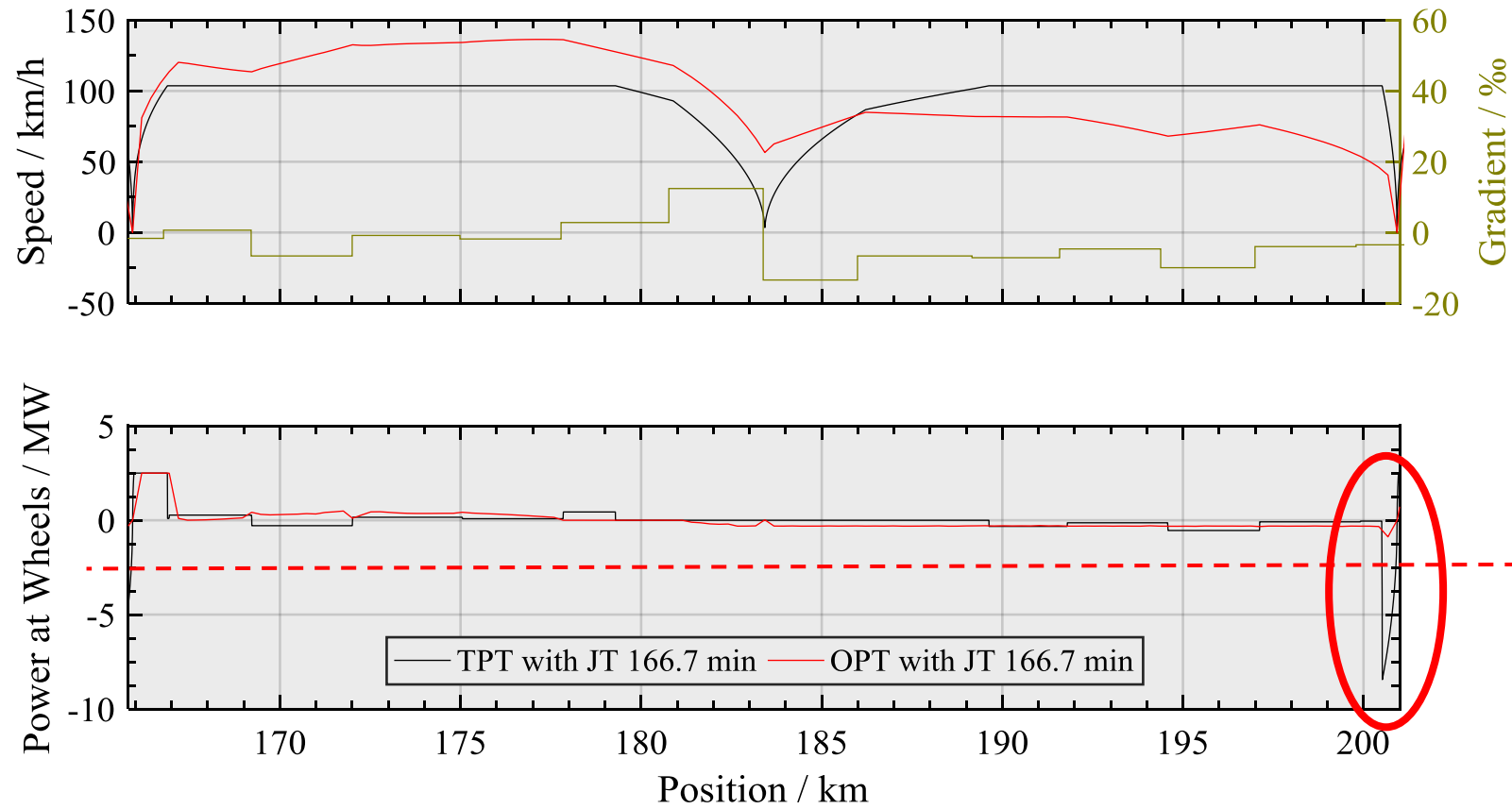
Here: OPT with more time reserves than TPT due to higher speed at the beginning





## Use case: Results

### OPT avoids using mechanical brakes



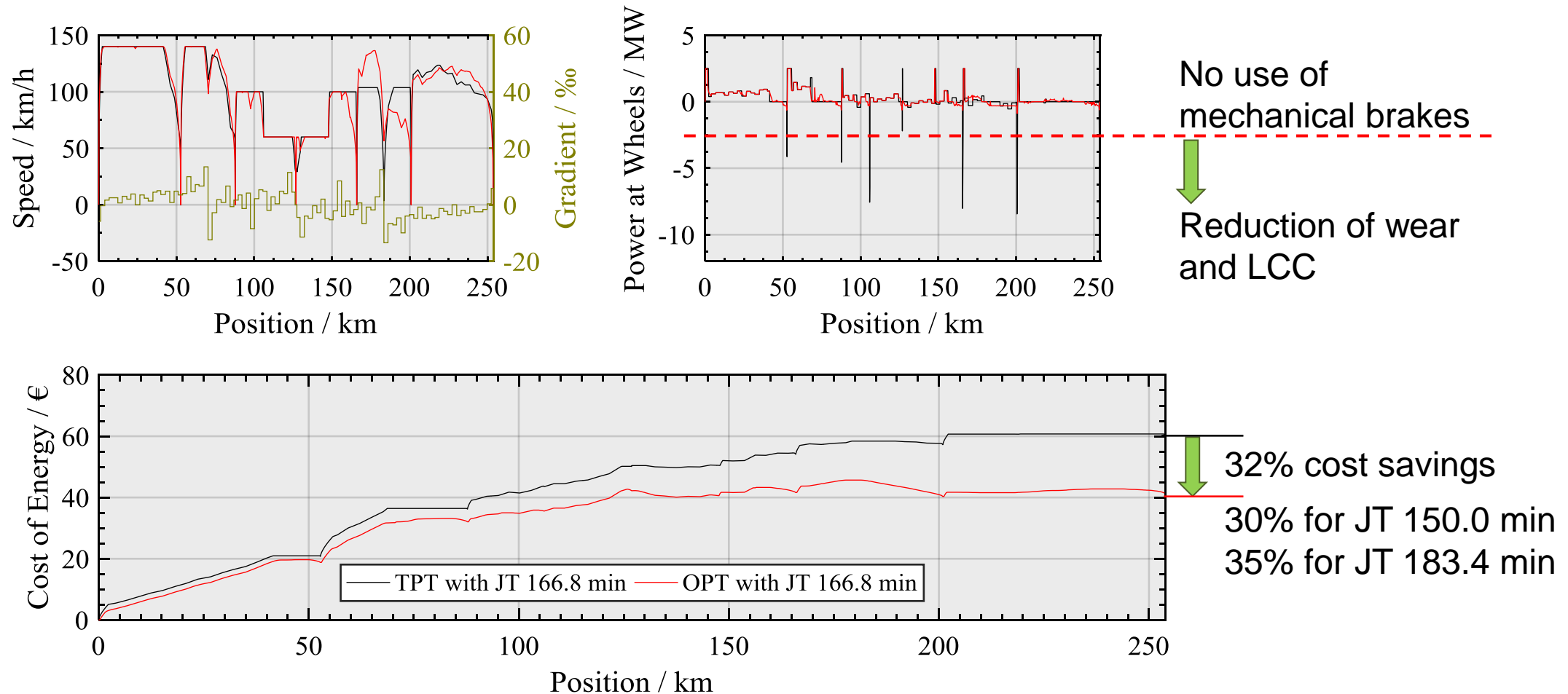
No use of  
mechanical brakes  
( $< -2,5\text{MW}$ )

↓  
Reduction of wear  
and LCC



## Use case: Comparison of Simulation Results

TPT vs OPT for journey time (JT) 166.7 min







# Conclusion & Outlook

## Conclusion

- OPT provides multi-criteria optimization → energy and time, TPT only time
- OPT uses track boundary conditions (gradients) – TPT with “try and error”
- OPT has better usage of electro dynamic braking → avoids mechanical breaks → reducing LCC
- OPT provides significant energy and cost savings when tested on reference scenario (30% – 35%)
- OPT has lower computation time requirements than TPT

## Outlook

- Potential for integration in Driver Assistance Systems (DAS) or as a feature in Automatic Train Operation (ATO)
- Will be used for development of test cases in NGT projects (LINK, HST, CARGO)
- Integration of OPT tool in opportunity charging [8] of on-board Energy Storage System (ESS) scheme for vehicle concept NGT LINK





Thank you for your attendance!  
Questions?

Toni Schirmer, M.Sc.  
Institute of Vehicle Concepts  
[toni.schirmer@dlr.de](mailto:toni.schirmer@dlr.de)

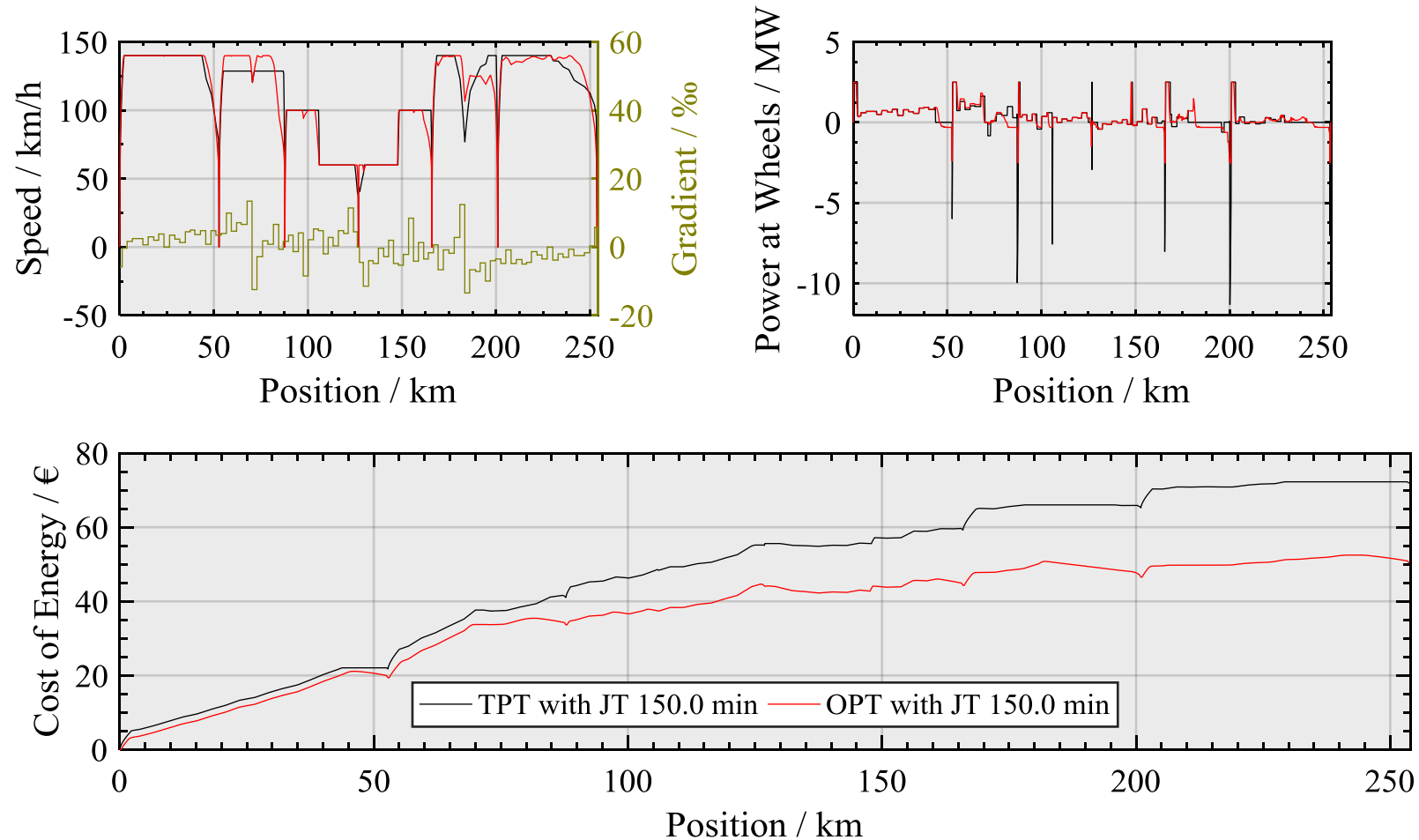


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# Appendix

## TPT vs OPT for JT 150.0 min





# Appendix

## TPT vs OPT for JT 183.4 min

